

PROFILE OF CS ABHISHEK WAGH

Abhishek Wagh, a dynamic and accomplished Company Secretary at the age of 25, stands as a testament to the fusion of youth and Compliance prowess. With a passion for work and a commitment to excellence, he has earned a deep understanding of the M&A and fund-raising processes, showcasing an unparalleled dedication to the profession.

His mission is to empower businesses by simplifying complex regulatory frameworks and ensuring seamless corporate compliance and corporate restructuring processes such as M&A and fundraisings. He aims to be a trusted partner in his client's success, contributing to their growth by fostering good governance practices.

Abhishek Wagh has accumulated valuable experience through his role in a previous organization which is a Practicing Company Secretary Firm. During his tenure at his previous organization, he played a pivotal role in the compliance of unlisted and listed companies and, the formation of companies and LLPs. At the same organization, he further honed his skills in NCLT and BSE matters such as Mergers of unlisted and listed entities, Preferential and Rights Issues in Listed Entities, Due Diligence, and matters related to NBFCs.

KEY ACHIEVEMENTS:

1. Successfully completed **mergers** of private limited companies through NCLT route and **Fast Track Mergers** without the approval of NCLT.
2. Carried out **Secretarial Due Diligence** of more than 25 companies having a mixture of Private, public and listed companies
3. Successfully completed **Capital Reduction** of a private by returning capital to promoters through the NCLT route
4. Completed fund raising activities in **Listed Companies** through **Right Issue and Preferential Issues**.
5. **Secretarial Due Diligence of Listed Companies** before or after acquisition and assessed cost of past non compliances of Target Company

SERVICE OFFERINGS:

- A. **Mergers** of Companies including **Fast track mergers** without approval of NCLT
- B. **Secretarial Due Diligence** of companies (including listed companies) before Mergers or Acquisitions
- C. **Approval of BSE** for Merger listed entities
- D. **Revival of Companies** strike off by RoC
- E. **Preferential Issue** of Warrants and Equity Shares in Listed Company
- F. **NBFC license of RBI**

G. Secretarial Compliance Solution

1. **Incorporation** of Company and LLP
2. Preparation and implementation of **customised Compliance Framework** suited to company as per the Compliances applicable under Companies Act and other commercial laws
3. Preparation and filing of various **Annual and event wise returns** applicable to companies i.e., AOC-4, AOC-XBRL, DPT-3, MSME-1, DIR-3KYC, PAS-6 and Charge related forms
4. Preparation of **Agenda, Notices and Minutes** of Board Meeting and General Meetings
5. Preparation of **Statutory Registers** mandatory under Companies Act

PUBLISHED WRITINGS:

- Amendments in SEBI (LODR) Regulations, 2015 (5th May, 2021 to 24th January 2022)

Link: <https://taxguru.in/sebi/amendments-sebi-lodr-regulations-2015-covered-5th-may-2021-24th-january-2022.html>

- Material Subsidiary/Reg 16(1)(c) & Reg 24A/LODR

Link: <https://taxguru.in/sebi/material-subsiary-reg-161c-reg-24a-lodr.html>

<https://taxguru.in/sebi/related-party-transactions-companies-act-2013-sebi-lodr-regulations-2015.html>

- Annual Report on CSR explained | Section 135 & Amended CSR Rules, 2021

Link: <https://taxguru.in/company-law/annual-report-csr-explained-section-135-amended-csr-rules-2021.html>

- 'Loan to Director' & 'Loan from Director' | Section 179, 180 & 185

Link: <https://taxguru.in/company-law/loan-director-loan-director-section-179-180-185.html>

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